

INDYCAR

2011 SUBSTANCE ABUSE POLICY

Preamble

Indy Racing League, LLC d/b/a INDYCAR (“INDYCAR”) strives to maintain the integrity of the governing body, its Series (individually, IZOD IndyCar® Series, Firestone Indy Lights®, STAR Mazda Championship and USF2000 National Championship) and its Events (as defined in the Series Rule Book). INDYCAR is concerned about the problem of substance abuse in American society and, more particularly, the threat that this may create for the public perception of the governing body and motorsports. The use of illegal drugs at any time, or the improper use of alcohol during an Event, and even the proper use of certain medications during an Event, may endanger Competitors, Officials, and spectators, and may result in harm to the internal and external perception of the governing body and motorsports. Such conduct cannot be permitted by INDYCAR.

With the above in mind, this 2011 Substance Abuse Policy (“Policy”) has been adopted. It applies to drivers, mechanics and crew members (hereinafter "Competitor" or "Competitors"), as well as to INDYCAR officials (hereinafter "Official" or "Officials"). It may also be applied to other participants in an Event as INDYCAR deems appropriate in its sole discretion.

For the 2011 racing season, INDYCAR has appointed Aegis Sciences Corporation (“Aegis”) to assist INDYCAR in carrying out the collection, transportation, and testing of (where applicable) bodily fluids, such as saliva, urine, blood, and breath specimens, as well as hair specimens, pursuant to this Policy. INDYCAR has designated David L. Black, Ph.D, of Aegis to determine whether any Competitor or Official has violated this Policy. In making this determination, Dr. Black shall consult with the INDYCAR Medical Review Officer (“MRO”). The INDYCAR MRO is Dr. Michael Olinger. The MRO is responsible for conducting an investigation of laboratory results and other facts and data under this Policy. This investigation may include receiving, reviewing, and interpreting information received from a Competitor or Official concerning whether there is a legitimate medical explanation for a positive test result, and reporting the results of the investigation to Dr. Black.

1. Prohibited Acts and Substances

A. Prohibited Substances

For the purpose of this Policy, “prohibited substances” are substances that, as determined by Aegis in consultation with INDYCAR, may adversely affect the integrity of the governing body or well-being of Competitors or Officials, or others, or the performance of a Competitor or Official in connection with an Event. “Prohibited substances” include, but are not limited to, illegal drugs such as marijuana, cocaine, and hallucinogens. Furthermore, the definition of “prohibited substances” also includes, without limitation, paraphernalia associated with illegal drug use and mind-altering and/or addictive substances, which are not sold as drugs or medicines, but are used or marketed for their mind or behavior-altering effect. “Prohibited substances” also include drugs or chemicals that may be used to alter a sample with the intent to defeat a drug screen or test. Competitors and Officials are prohibited from using, possessing, purchasing, selling, manufacturing and/or participating in the distribution of prohibited substances or any drug that is illegal to possess, use, and/or distribute by the laws of the United States of America and/or any of its 50 states, regardless of the amount, at any time. Illegal acquisition and/or illegal distribution of any prescription or over-the-counter medication are strictly prohibited at any time. Alcohol, if used improperly as defined by this Policy, may also be considered a Prohibited Substance.

In addition to the prohibition of illegal drugs described above, the improper use of the following non-exhaustive list of drugs, including chemical and pharmacological analogs and related compounds thereof, is prohibited under this Policy:

- (1) Amphetamines, including without limitation Amphetamine (Adderall®), Methamphetamine (Desoxyn®), Ecstasy (MDMA), Eve (MDEA), MDA, Phentermine, and PMA.
- (2) Narcotics and Opioid Analgesics, including without limitation Alfentanil, Codeine (Tylenol® #3, 4), Norcodeine, Dihydrocodeine (Synalgos-DC®), Fentanyl (Duragesic®), Norfentanyl, Hydromorphone (Dilaudid®), Hydrocodone (Lortab®), Norhydrocodone, Meperidine, Methadone (Demerol®), EDDP, Morphine (MS Contin®), Oxycodone (OxyContin®), Noroxycodone, Propoxyphene (Darvon®), and Sufentanil.
- (3) Ephedrine class, including without limitation Ephedrine and Phenylpropanolamine.
- (4) Benzodiazepines, including without limitation Alprazolam (Xanax®), Clonazepam (Klonopin®), Diazepam (Valium®), Flurazepam (Dalmane®), Lorazepam (Ativan®), Oxazepam (Serax®), and Temazepam (Restoril®).
- (5) Barbituates, including without limitation Amobarbital, Butabarbital, Butalbital, Pentobarbital, Phenobarbital, and Secobarbital.
- (6) Individual substances, including without limitation Buprenorphine (Suboxone®), Butalbital (Fioricet®, Fiorinal®), Carisoprodol (Soma®), Meprobamate, Tramadol (Ultram®).

B. Proper and Improper Use of Prescription and Over-the-Counter Medications/Substances

INDYCAR recognizes that there are many prescription and over-the-counter medications that serve essential or beneficial purposes for the health and well-being of Competitors and Officials, and nothing in this Policy is intended to discourage the proper use of these medications. Just as importantly, however, there are some medications that, even when properly used, may adversely affect a participant's well-being, performance, or fitness to be involved in a racing event or events. For example, many types of cough medicines contain codeine, a potent narcotic that may result in drowsiness or diminished alertness.

Improper use of a prescription or over-the-counter medication or any mind-altering or addictive substance by an individual is prohibited. Improper use includes without limitation:

- (1) the use of such a medication or substance in a manner that is inconsistent with the instructions provided by the manufacturer, pharmacist and/or the prescribing physician;
- (2) the use of such a medication or substance that causes an individual to have a competitive advantage or impaired ability to perform his or her duties in a racing event;
- (3) the use of a prescription medication without a valid prescription from a licensed and treating physician that was given for a legitimate medical purpose;
- (4) the use of a prescription medication prescribed by a physician who was not advised that another physician was prescribing the same or similar medication; and/or
- (5) the use of a prescription medication that was prescribed more than 90 days prior to an Event.

To the extent that Aegis in consultation with INDYCAR determines that the use of any prescribed medication or other substance, including properly prescribed prescription drugs and properly used over-the-counter medications, causes an individual to have a diminished, improved, or impaired ability to perform his or her duties on the day of an Event, those substances shall be deemed to be prohibited substances for purposes of this Policy.

C. Alcohol

No Official or Competitor may consume alcohol within 12 hours prior to or during any on-track activity at an Event, nor may any Official or Competitor be under the influence of alcohol at any Event. "Under the influence," for purposes of this Policy, means that the Official or Competitor has an impaired ability due to alcohol consumption to conduct himself or herself as INDYCAR, in the exercise of its sole judgment would expect at an Event. Nothing in this paragraph shall preclude INDYCAR from determining that a Competitor or an Official with any alcohol usage, however minimal, is physically unfit for driving, participating, or officiating in an Event and taking such actions as INDYCAR may deem appropriate under the INDYCAR Rules.

D. Dietary Supplements

Dietary supplements may contain a prohibited substance under this Policy, whether through contamination or added purposefully, and may not be included on the supplement label. Individuals subject to this Policy should carefully scrutinize any dietary supplement and should avoid any product sold with a warning advising non-use if the purchaser is subject to a drug testing program.

E. Masking Agents

The use or attempted use of any agent or technique that is designed to avoid detection of a prohibited substance under this Policy and/or otherwise tamper with the integrity of a drug test is prohibited. This includes without limitation providing false or substituted urine, contaminating a drug test sample with chemicals or other substances, and/or using pharmaceutical diuretics to purposely dilute or attempt to dilute a drug test sample.

2. Targeted Screening or Testing for Reasonable Suspicion of Drug/Alcohol Use

INDYCAR may require a Competitor or Official to submit to a screen or test, including without limitation, urine, breathalyzer, blood, oral fluid/saliva, hair, breath, and/or any other scientifically-acceptable method of testing when INDYCAR has reasonable suspicion that the Competitor or Official has violated any part of this Policy at any time or that he/she has a diminished, improved, or impaired ability to perform during an Event due to the use of any prohibited substance or alcohol. Examples of the conditions, observations and/or reports that may result in "reasonable suspicion" include, but are not limited to, the following:

A. When a Competitor or Official is found or observed:

1. in possession of illegal drugs or drug paraphernalia;
2. in improper drug-related or alcohol-related activity; or
3. under the improper influence of drugs or alcohol on the day of an Event.

B. Observation of signs, symptoms and/or behaviors that may be associated with the use of prohibited substances or alcohol including, but not limited to:

1. Odor or residual odor associated with prohibited substances or alcohol.
2. Statements made by an individual that may indicate that he/she improperly uses prohibited substances or alcohol.
3. Red or droopy eyes, dilated or constricted pupils.
4. Slurred speech, stumbling, or hyperactivity.
5. Needle marks or other physical evidence that an individual has used a prohibited substance.
6. Unexplained disappearances from an Event.
7. Nose constantly runs, appears red, or persistent sniffing.
8. Unexplained tardiness, absences, or missed appointments;
9. Chronic forgetfulness or broken promises.
10. Involvement in an accident during an Event.
11. Inability to concentrate, remember, or maintain attention.
12. Mental confusion, paranoia, or expression of abnormal or threatening statements.
13. Violent tendencies, loss of temper, or irritability.
14. Unexplained or excessive negligence or carelessness.
15. Extreme, unusual, or unexplained personality change, or unusual, irrational or erratic behavior.
16. Deteriorating personal hygiene or appearance.
17. Pattern of abnormal conduct.
18. Repeated failure to follow instructions or operating procedures.
19. Violation of INDYCAR safety policies or failure to follow safe practices.
20. Display of any item that reflects that the individual may be in violation of this Policy.
21. Evidence that an individual has tampered with or not cooperated with a prior drug or alcohol test.

C. An arrest or conviction for driving while under the influence of alcohol or drugs, or a drug or alcohol related arrest or conviction.

D. An examination, screening, or test, as provided by the Series Rules and/or this Policy, which shows evidence of or suggests use of a prohibited substance or alcohol abuse or of adulteration or manipulation of the examination, screening, or test.

E. Aroma of an alcoholic beverage or prohibited substance on or about the breath or body of a Competitor or Official consistent with use of a prohibited substance or alcohol.

F. Following an incident in which careless acts as determined by INDYCAR were observed in connection with an Event.

3. Screening – Scheduled and Random

INDYCAR may conduct scheduled and/or random screenings to determine whether additional testing is appropriate. A positive result from a screening may result in temporary suspension of the Competitor's or Official's license or other action deemed appropriate by INDYCAR in its sole discretion.

4. Testing – Scheduled and Random

A. At its sole discretion, INDYCAR may require Competitors or Officials to submit to an annual test and/or random testing for the use of one or more prohibited substances and/or alcohol. Selection of individuals for random testing (and screening) shall be done by Aegis.

B. INDYCAR may designate specific INDYCAR representatives to be present at any or all screenings and/or testing collections.

5. Technological Aspects of Testing

Aegis will determine whether and in what amount a particular specimen tests positive with respect to a prohibited substance and/or alcohol, and whether the specimen has been adulterated or manipulated. Aegis will also determine whether a violation of this Policy has occurred. Aegis will transmit Policy violations to INDYCAR's President of Competition and Operations and to INDYCAR's designated Medical Review Officer. Certification of Aegis by any government agency, such as the Department of Health and Human Services Substance Abuse and Mental Health Services Administration ("SAMHSA") or other third party, such as the College of American Pathologists ("CAP") Forensic Urine Drug Testing Program, verifies Aegis as a testing facility. However, Aegis is not limited by any certification regarding the substances to be tested, the procedures to be followed, and/or the sensitivity of any tests applied by Aegis under this Policy.

6. Procedures if a Screen or Test Shows the Presence of Prohibited Substances

A. Notification and Suspension:

1. If a Competitor or Official is found to have a positive screen or test result, the MRO and Aegis shall work together to determine if the positive screen or test result is a violation of this Policy. If a Competitor or Official is found to have violated this Policy, Aegis shall contact INDYCAR's President of Competition and Operations and the MRO. Upon being notified that a Competitor or Official has violated this Policy, INDYCAR's President of Competition and Operations or his/her designee may suspend that Competitor's or Official's INDYCAR license and/or membership for an indefinite period and/or take such other disciplinary action deemed appropriate under the circumstances.

2. If that Competitor or Official wishes to return to racing or officiating, that Competitor or Official must submit to a re-test or re-tests. This will be done at a time and place and under conditions specified by Aegis in consultation with INDYCAR, at the Competitor's or Official's expense, which expense will include laboratory fees and all other direct and indirect costs incurred by INDYCAR and/or Aegis in connection with each test. If and when a Competitor's or Official's test shows no evidence of a prohibited substance, and the Competitor or Official has completed all other requirements to the satisfaction of Aegis and INDYCAR, that Competitor or Official may be allowed to return to racing or officiating, but only if he/she is not otherwise ineligible. Furthermore, if the Competitor or Official does return to racing or officiating, he/she shall be subject to future screenings and/or tests for any substance, drug or alcohol, at such times and places as may be determined by Aegis in consultation with INDYCAR, and at the expense of the Competitor or Official.

B. Screening and Testing Results Publishing

Under many circumstances, INDYCAR will endeavor to keep the results of any screenings or tests as confidential; however, INDYCAR may determine, in its sole discretion, that circumstances warrant the disclosure of such results, and INDYCAR may publish the results of any screenings and tests conducted pursuant to this Policy and the circumstances giving rise to such screenings and tests to such third parties as INDYCAR, in its sole discretion, deems reasonable under the circumstances. The Competitor or Official shall have no claim or cause of action of any kind against INDYCAR or the directors, officers, members, owners, successors, assigns, employees, representatives, affiliates or agents of INDYCAR with respect to such publication, and/or shall be deemed to have waived any such claim or cause of action.

7. Disciplinary Action for Prohibited Acts Where There is No Testing for Prohibited Substances

With respect to any prohibited act described herein, if INDYCAR determines that a Competitor or Official has engaged in any such prohibited act, INDYCAR may eject the Competitor or Official from the premises or take such other action as deemed appropriate by INDYCAR in its sole discretion, and that Competitor or Official shall also be subject to disciplinary action that INDYCAR in its sole discretion deems appropriate.

8. Treatment for Drugs/Alcohol

INDYCAR does not provide drug or alcohol rehabilitation programs. However, INDYCAR strongly encourages self-help and treatment for those who may have a drug or alcohol abuse problem. Many worthy programs, both public and private, are available for the treatment of drug and alcohol abuse. INDYCAR will continue its efforts to support a drug-free America and a society in which alcohol is not abused. No penalty will be imposed on a Competitor or Official for voluntarily acknowledging a substance abuse or alcohol addiction to the INDYCAR President of Competition prior to a drug screening or test being performed or notice of a drug screening or test under this Policy. However, INDYCAR may, for the integrity of the individual and sport, temporarily suspend the Competitor or Official until he/she has been rehabilitated to the satisfaction of INDYCAR.

9. Applicability of the Series Rules

This Policy, as it may be amended from time to time, is a supplement to the provisions of the Series Rules, and will be interpreted and applied by INDYCAR. This Policy is binding upon all INDYCAR members in the same manner and to the same extent as are the provisions of the Rules.

10. Authorization for Screening and/or Testing and Release

If a Competitor or Official refuses to promptly execute the 2011 Authorization for Screening and/or Testing and Release form enclosed with this Policy and deliver it to INDYCAR by the time designated by INDYCAR for receipt, that Competitor or Official may not be issued an INDYCAR Competitor's or Official's license and/or membership and, if already issued, the INDYCAR license and/or membership may be suspended until the Competitor or Official executes the above mentioned authorization and release and delivers it to INDYCAR. If INDYCAR directs a Competitor or Official to submit to a screen or test to determine whether he/she is in violation of this Policy, that Competitor or Official must consent to and promptly and fully participate in and cooperate with the screen or test in accordance with the instructions of INDYCAR. If that Competitor or Official refuses to promptly consent and/or fully participate in and cooperate with such a screen or test within the time period designated by INDYCAR, INDYCAR may eject the Competitor or Official from the racing premises or take such other action as may be appropriate, and that Competitor or Official will also be subject to disciplinary action.

INDYCAR

2011 SUBSTANCE ABUSE POLICY

AUTHORIZATION FOR SCREENING AND/OR TESTING AND RELEASE

I have read the 2011 Substance Abuse Policy. I hereby give my consent to Indy Racing League, LLC d/b/a INDYCAR (“INDYCAR”) and its designated agents to collect blood, urine, saliva, hair, and/or breath specimens from me; and to screen and/or test those specimens for the presence of alcohol and/or any prohibited substance under the 2011 Substance Abuse Policy; and to conduct such other screenings or tests as INDYCAR deems necessary from time to time to determine my fitness to participate in or at an Event, all as set forth in the 2011 Substance Abuse Policy as it may be amended from time to time. In addition, I give my permission to any doctor, nurse, technician, laboratory, or health facility which administers drug or alcohol screenings or tests to release the results of any screenings or tests of examinations to INDYCAR.

I recognize that the 2011 Substance Abuse Policy has been adopted and is administered by INDYCAR to promote the integrity of INDYCAR-governed racing. Accordingly, I ALSO HEREBY RELEASE, DISCHARGE, COVENANT NOT TO SUE AND AGREE TO HOLD HARMLESS INDYCAR, its directors, officers, members, owners, successors, assigns, employees, representatives, affiliates, agents, and testing facilities, as well as any individual or company in any way affiliated with INDYCAR and any other persons or entities against whom I might have a claim, from and/or for claims, damages, losses, or expenses of any kind, whether caused by negligence or otherwise, arising out of the implementation of the 2011 Substance Abuse Policy, or any act or omission in connection therewith, including and without limitation the screening and testing of specimens and the publication of the screening and test results and circumstances giving rise to such screen and test to any third party or parties by INDYCAR or such drug testing facilities or Medical Review Officers, as well as the directors, officers, members, owners, successors, assigns, employees, representatives, affiliates, and agents of each of them, or any other persons or entities.

I have received and read a copy of this document

(Date)

(Printed Name of Competitor or Official)

(City and State)

(Signature)

Team Role

Affiliation